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Section UNITED STATES MOV 2 & ZSECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington DC 411

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: FTSE South Korea RIC Capped Index If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **FLKR** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Korea Stock Exchange 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) Position Limits of New Derivative Securities Product (if applicable): N/A 17003735 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele **Assistant Corporate Secretary** Securities and Exchange Commission Telephone Number: Trading and Markets 301-978-8736 Manual Signature of Official Responsible for Form NOV 24 2017 Date: November 13, 2017 Securities Exchange Act of 1934 RE( Section SEC 2449 (6-01) Rule 19b-4(e Public

Availability:

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